

Modeling alternative water governance scenarios in the Lake Champlain Basin: A multi-scale agent-based model of resource prioritization and collective action

Patrick Bitterman

Postdoctoral Research Associate, Vermont EPSCoR
Postdoctoral Research Fellow, Gund Institute for Environment

June 4, 2019 // All Hands Meeting 2019



The University of Vermont

Main takeaways

- The efficacy of water quality-related municipal coordination in the LCB is a function of network **structure** and **function**
- Coordination schemes that regionalize **planning and implementation** are more effective in reducing phosphorus loads
- **Capacity** at state and municipal scales is more important than the amount of funding
- Phosphorus mitigation projects must become *much* more effective

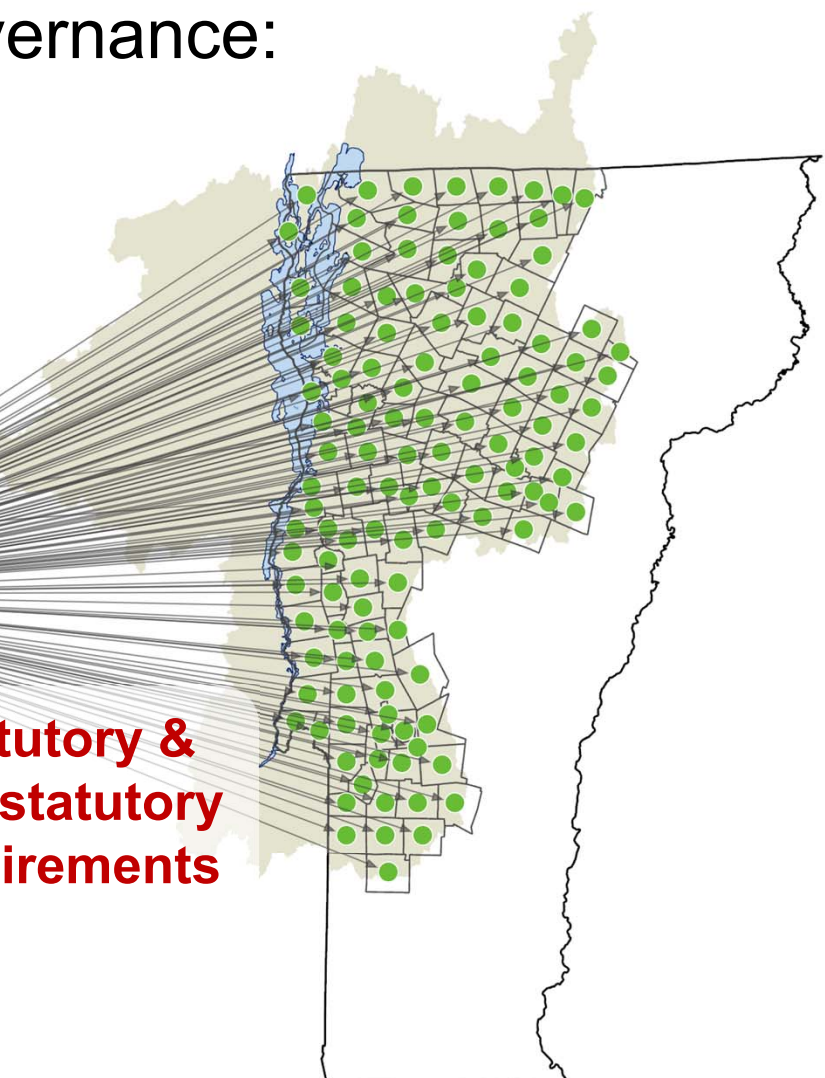
Urban (mostly stormwater) water governance: (just part of the story)

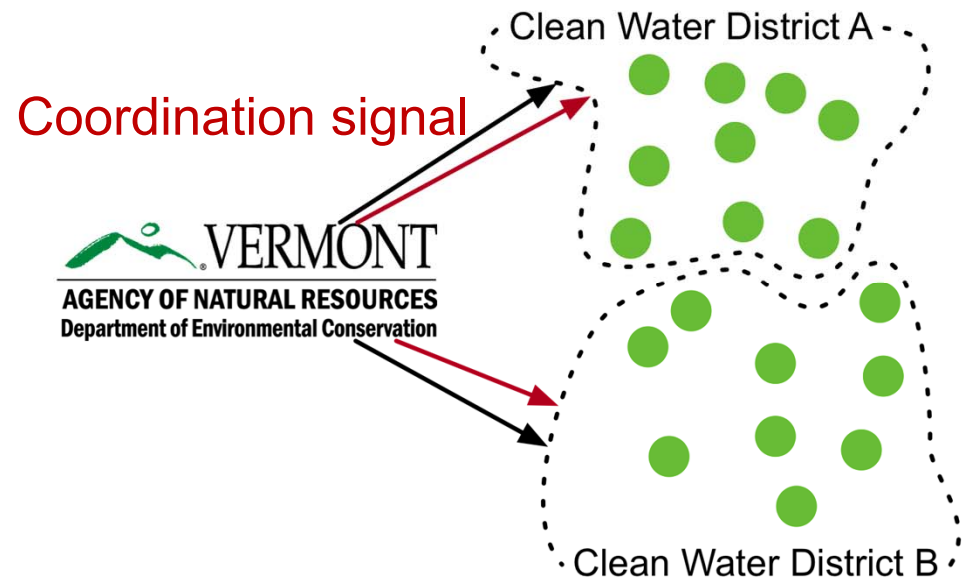
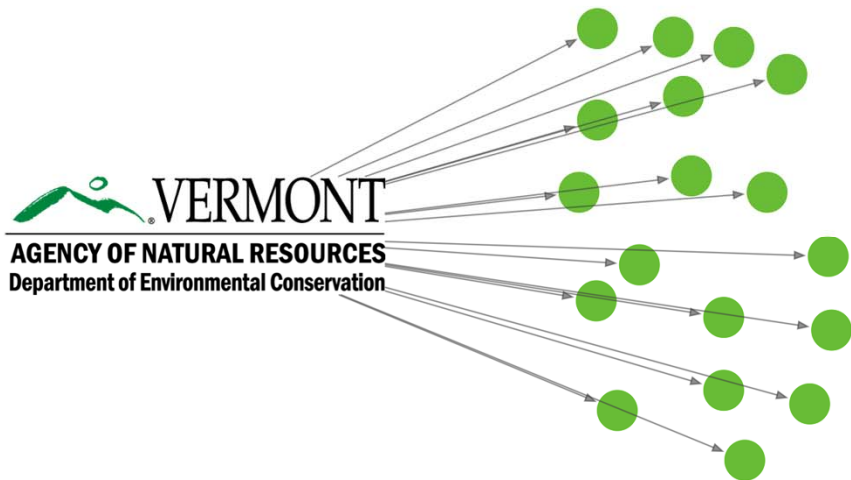


**TMDL
regulation**



**Statutory &
non-statutory
requirements**





EPA approves administration's clean water funding steps

By [Elizabeth Gribkoff](#)
Feb 12 2019 | one reader footnote

Senate's skepticism over clean water bill gives way to unanimous approval

By [Elizabeth Gribkoff](#)
Apr 2 2019 | 4 reader footnotes

vtdigger.com

S.96

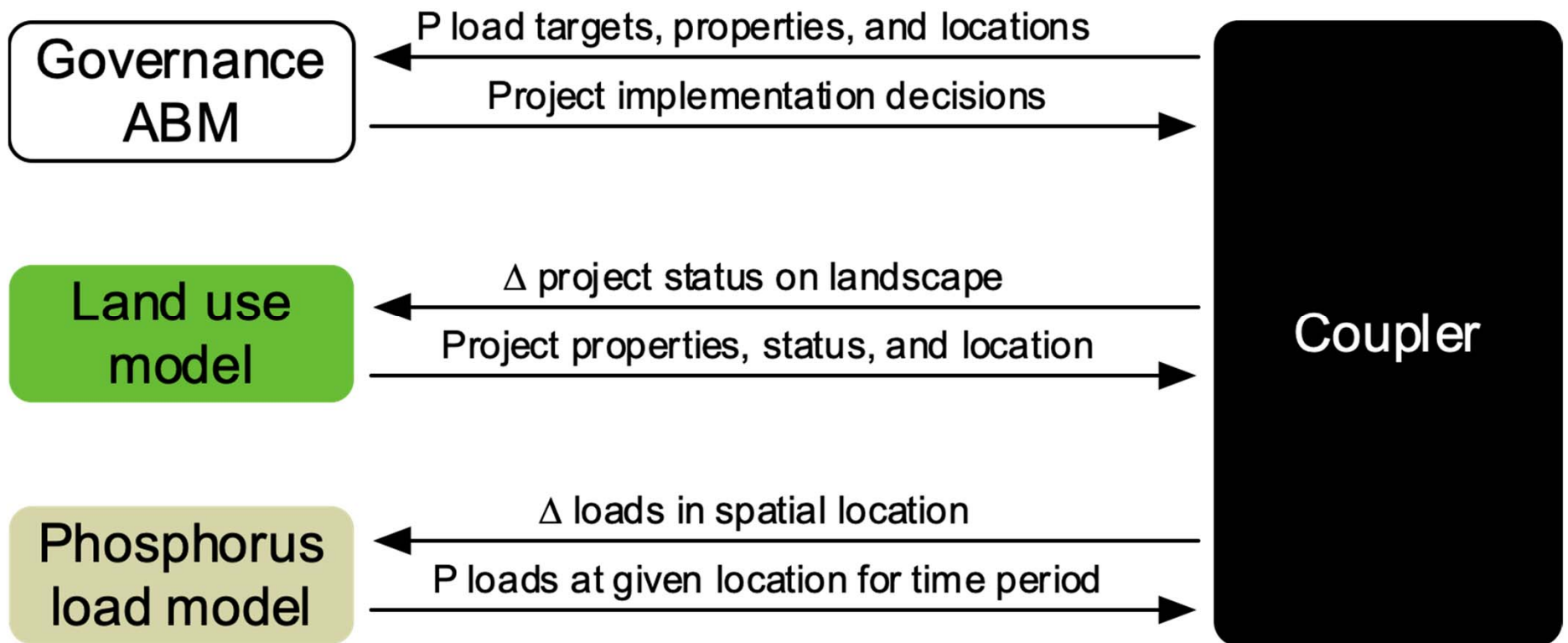
An act relating to the provision of water quality services

Sponsor(s) [Sen. Christopher Bray](#)
[Sen. Virginia Lyons](#)
[Sen. Rebecca Balint](#)
[Sen. Robert Starr](#)

Last Recorded Action [Senate 5/22/2019 - As passed by Senate and House](#)

<https://legislature.vermont.gov/bill/status/2020/S.96>

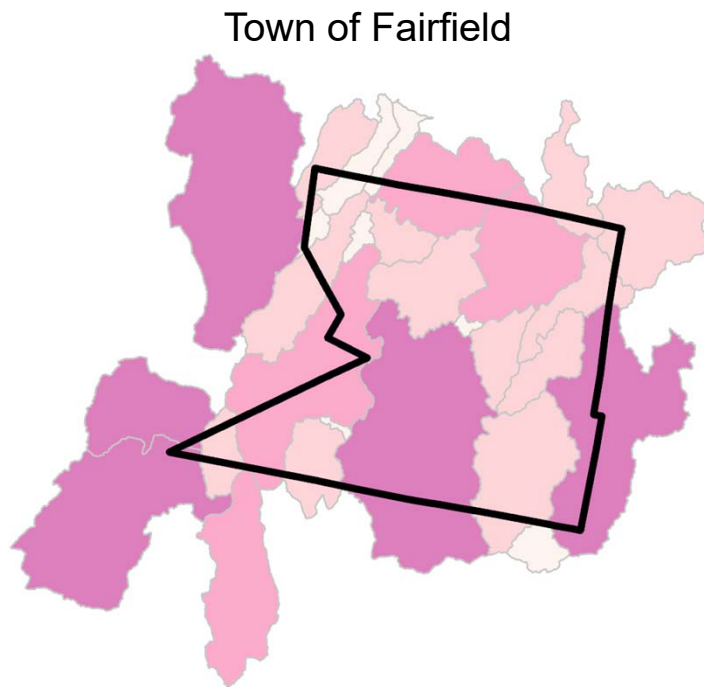
A coupled model of clean water project prioritization



ABM: municipal project creation and prioritization

Behaviors:

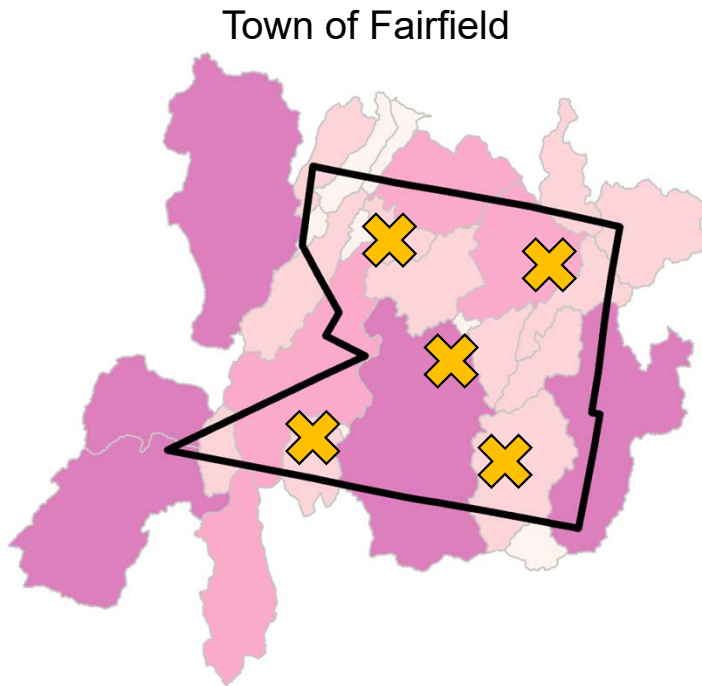
Annual load	Reduction target
483 kg P	65 kg P
Urban land use P	



ABM: municipal project creation and prioritization

Annual load	Reduction target
483 kg P	65 kg P
	418 kg P

Urban land use P



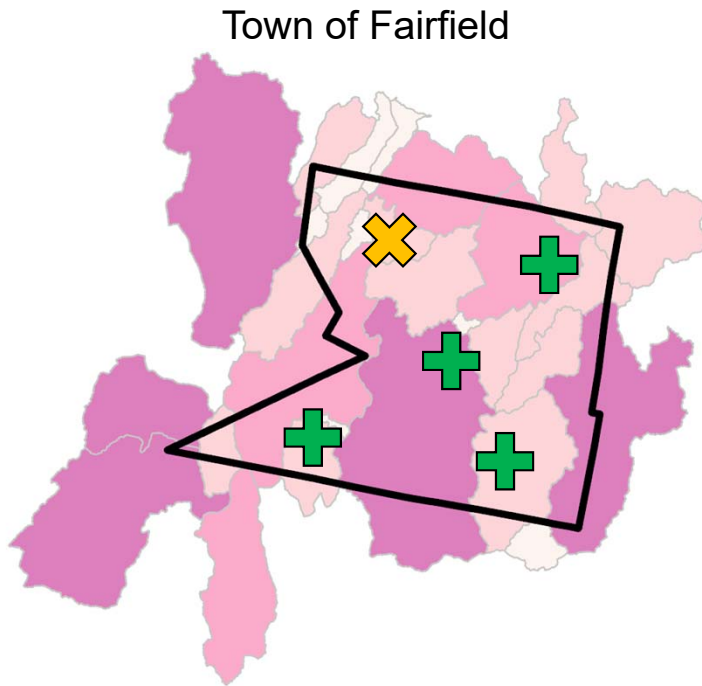
Behaviors:

1. Identify clean water projects

ABM: municipal project creation and prioritization

Annual load	Reduction target
483 kg P	65 kg P
	418 kg P

Urban land use P

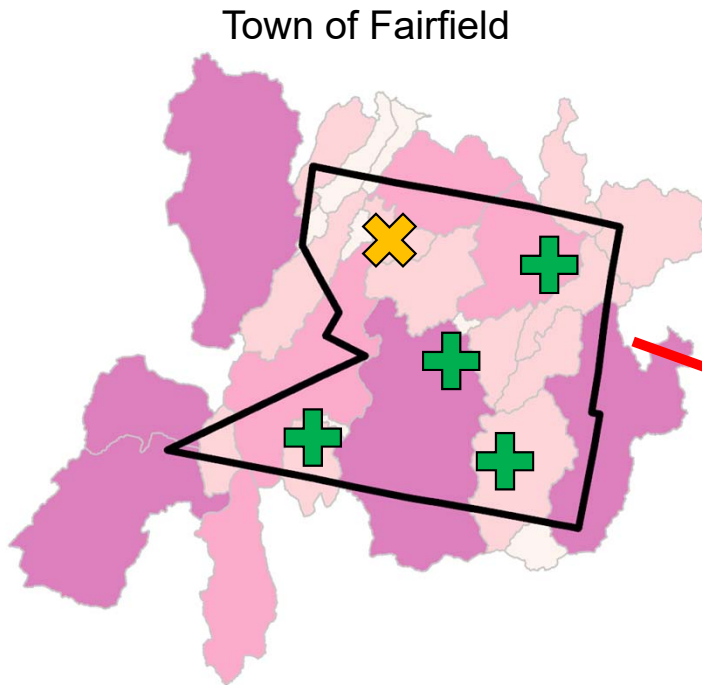


Behaviors:

1. Identify clean water projects
2. Plan clean water projects

ABM: municipal project creation and prioritization

Annual load	Reduction target
483 kg P	65 kg P
Urban land use P	418 kg P



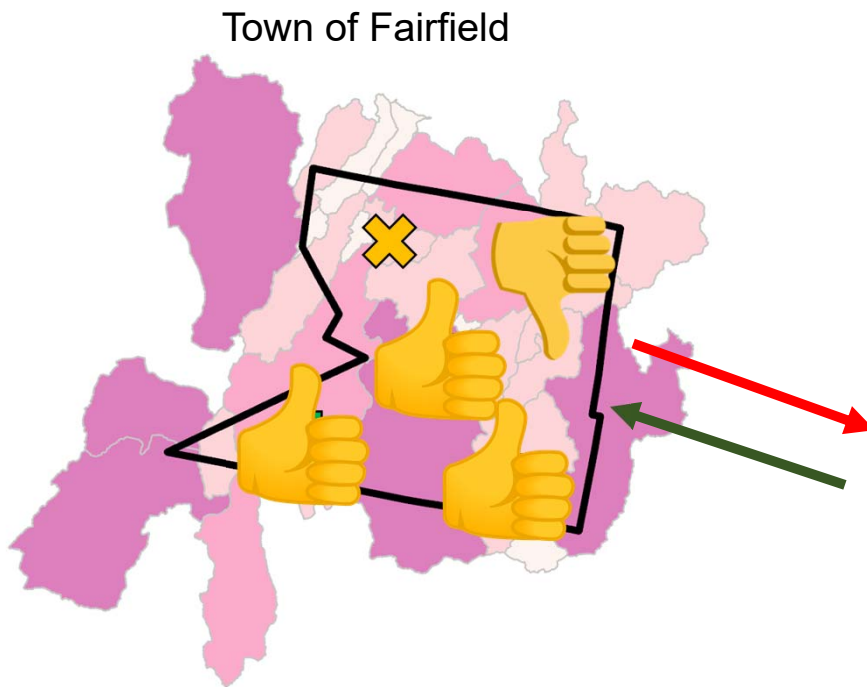
Behaviors:

1. Identify clean water projects
2. Plan clean water projects
3. **Apply for funding (from state)**



ABM: municipal project creation and prioritization

Annual load	Reduction target
483 kg P	65 kg P
Urban land use P	418 kg P



Behaviors:

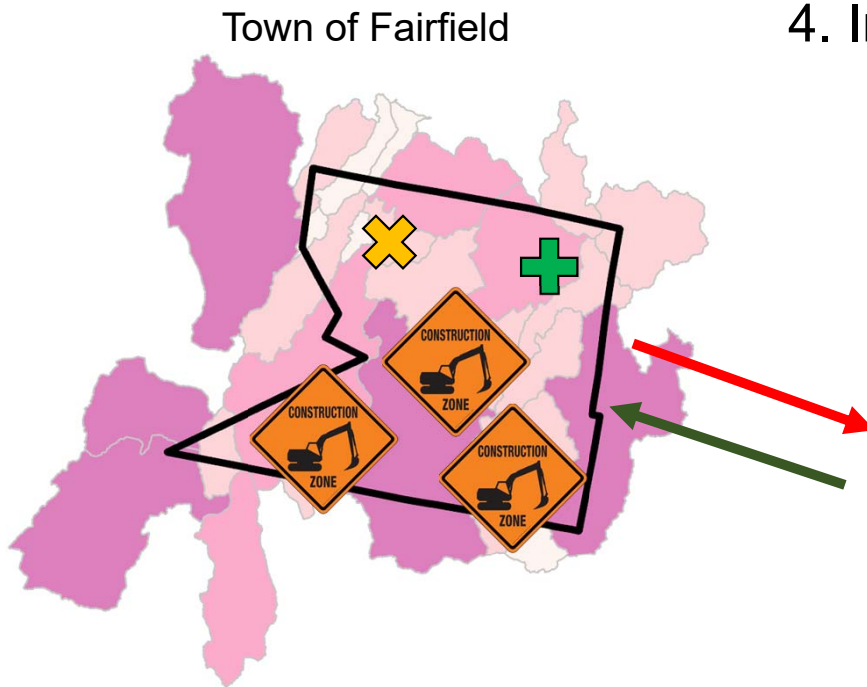
1. Identify clean water projects
2. Plan clean water projects
3. Apply for funding (from state)



- **Priorities**
- **Constraints**
 - Available funding (\$)
 - Human resources (throughput)

ABM: municipal project creation and prioritization

Annual load	Reduction target
483 kg P	65 kg P
Urban land use P	418 kg P



Behaviors:

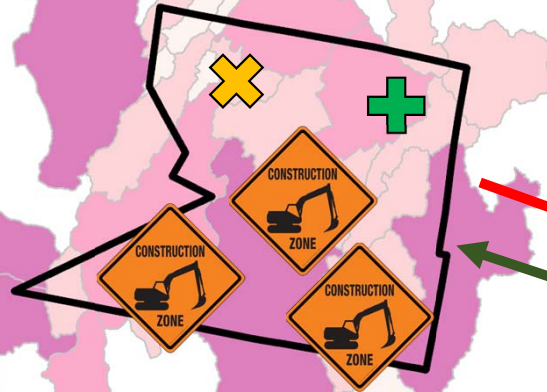
1. Identify clean water projects
2. Plan clean water projects
3. Apply for funding (from state)
4. Implement/build clean water projects



ABM: municipal project creation and prioritization

Annual load	Reduction target
483 kg P	65 kg P
Urban land use P	418 kg P

Town of Fairfield



Behaviors:

1. Identify clean water projects
2. Plan clean water projects
- 3. Apply for funding (from state)**
4. Implement/build clean water projects

...decide to engage in coordination

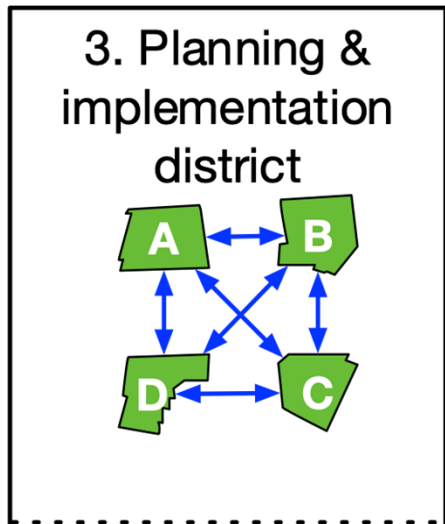
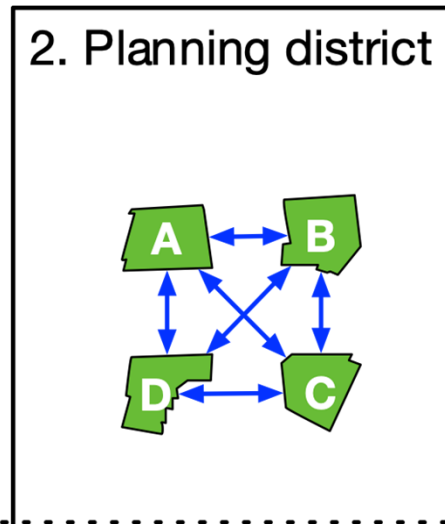
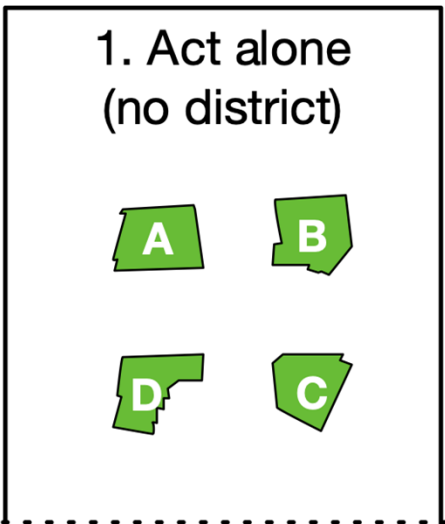
Town of Georgia



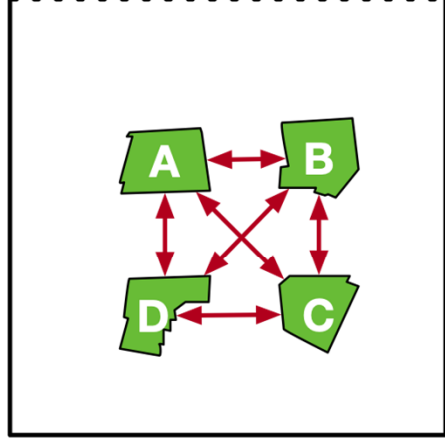
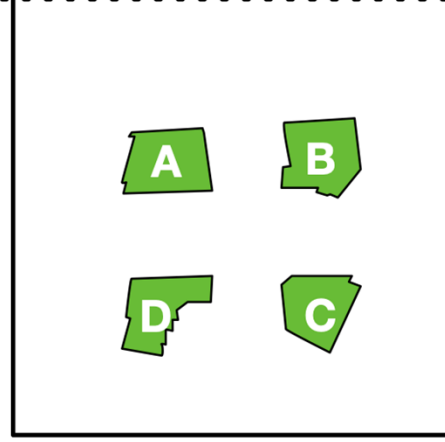
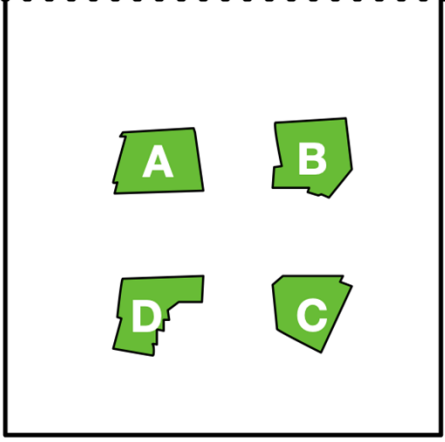
How might clean water districts function (as multiplex networks)?

Planning

Functions



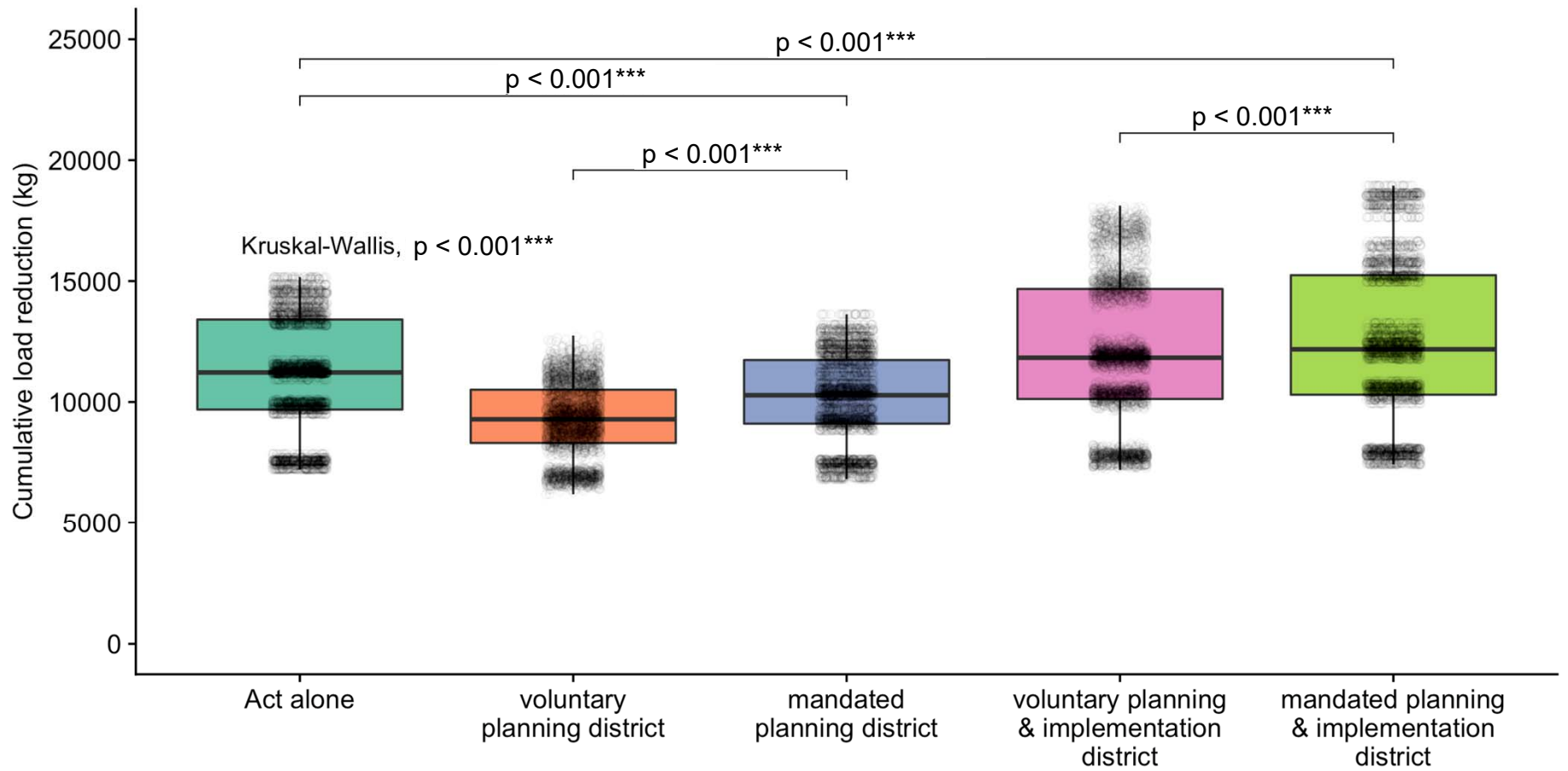
Implementation



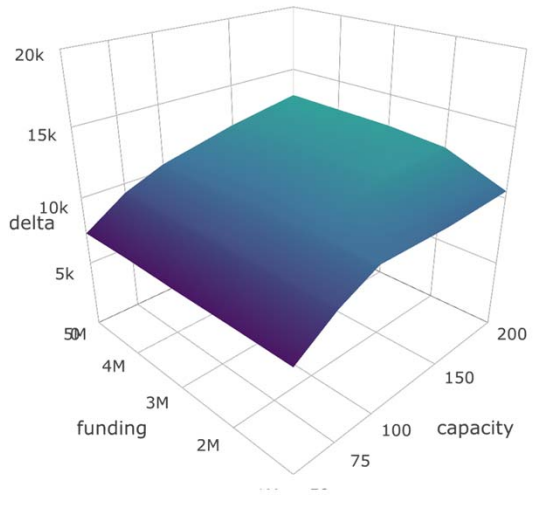
Simulation scenarios

Lever of change	Parameter	Values
How much money should the state spend?	Allocated funds	1, 2, 3, 4, and 5 million USD
How many human resources are necessary?	State agent capacity (throughput)	50, 75, 100, 150, and 200 projects/year
Scale and scope of coordination	Policy rules	<ol style="list-style-type: none">1. Municipalities act alone2. Voluntary planning district3. Mandated planning district4. Voluntary planning and implementation district5. Mandated planning and implementation district

Levers of change: regionalization policy

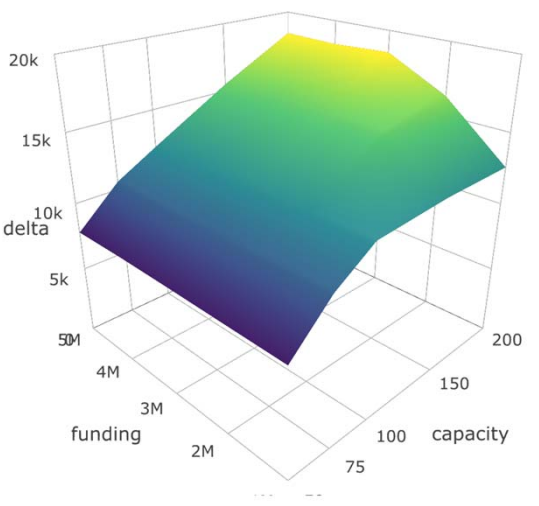


Planning only district



5e+06	7341	9319	10503	11846	12825
4e+06	7382	9215	10379	11794	12719
3e+06	7314	9284	10326	11821	12623
2e+06	7322	9178	10480	12008	12256
1e+06	7281	9160	10445	10312	10507
	50	75	100	150	200

Planning & implementation district

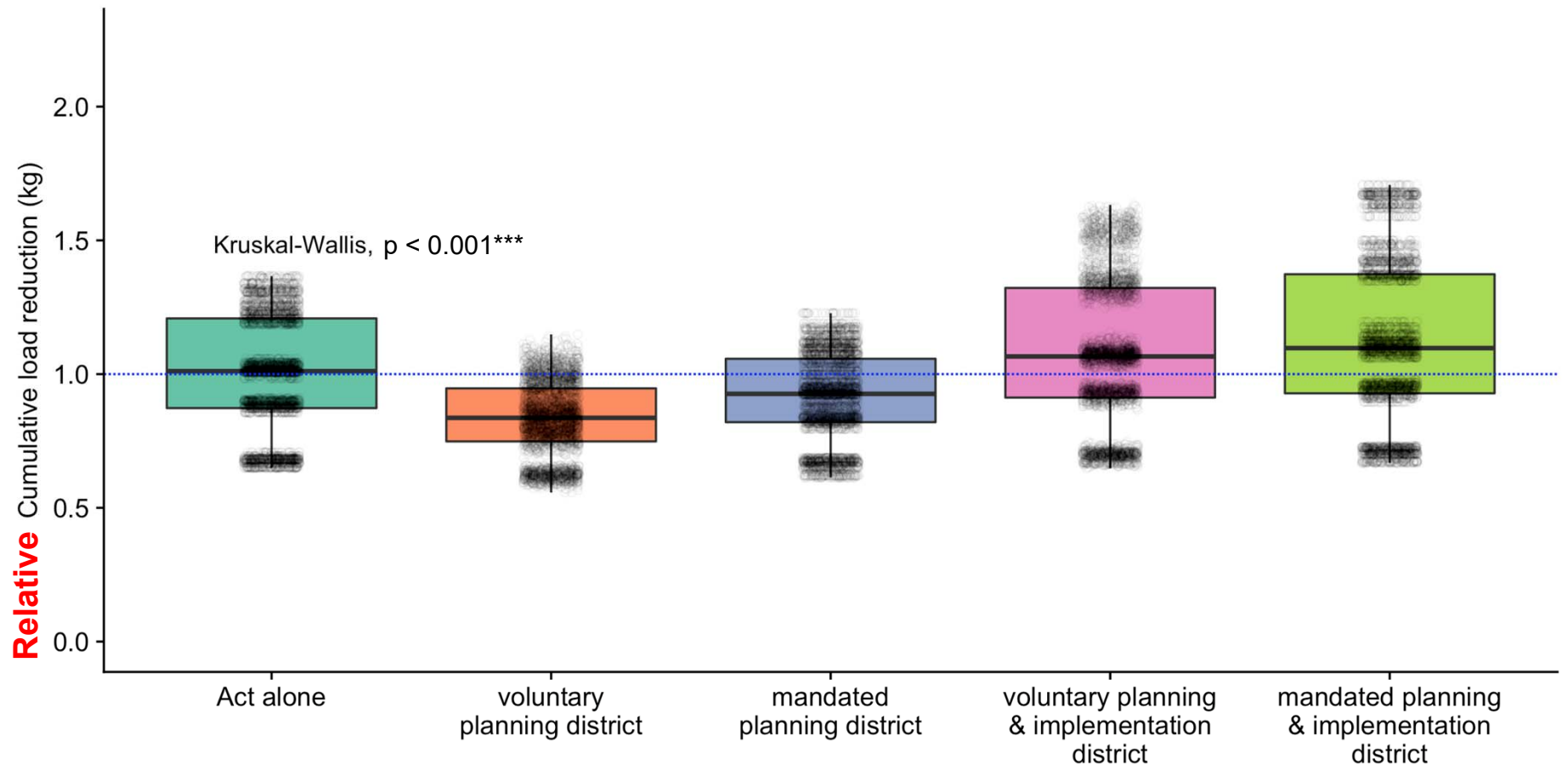


State funding (\$/year)

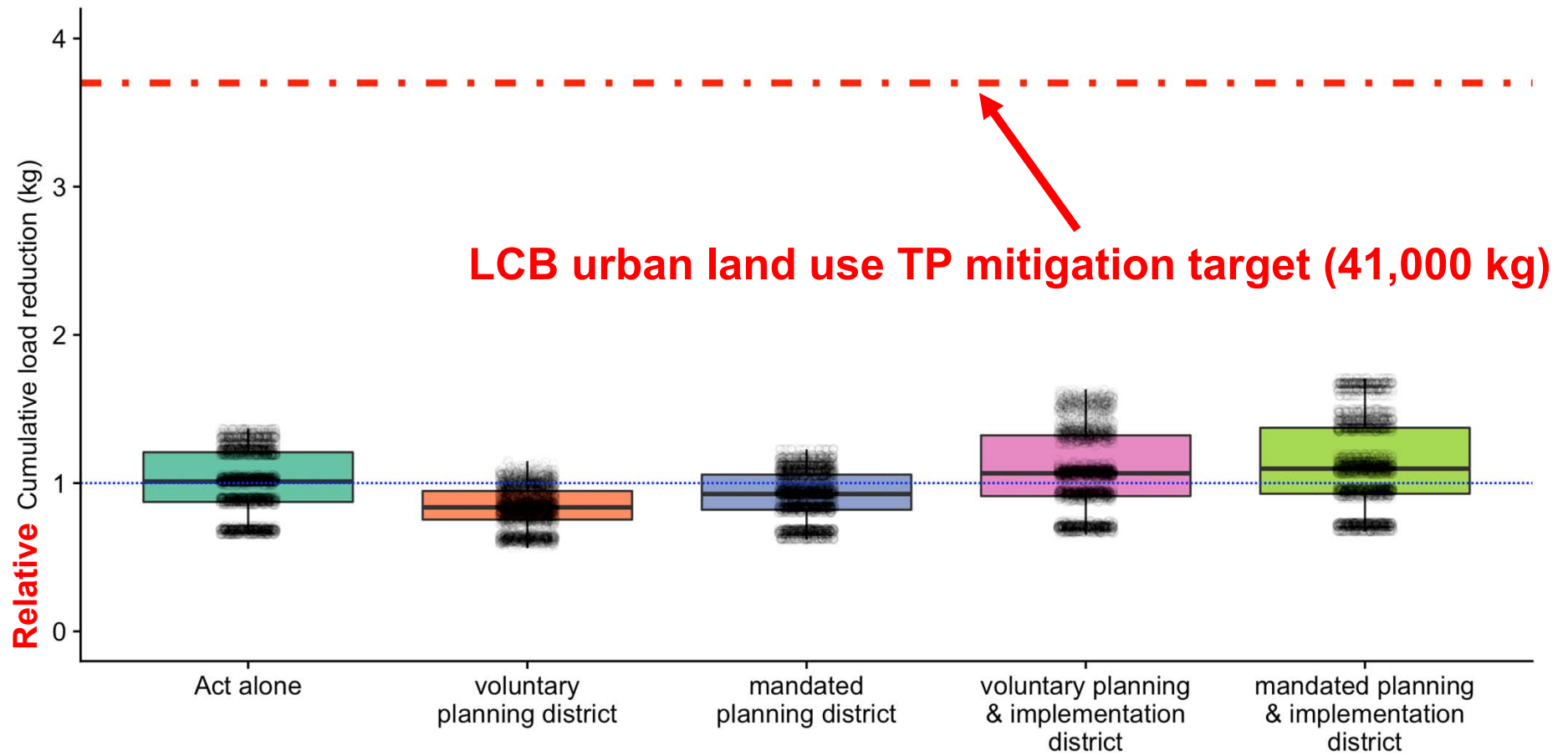
5e+06	7834	10579	12261	15518	18367
4e+06	7885	10479	12178	15338	18274
3e+06	7859	10398	12396	15533	18484
2e+06	7807	10390	12193	15462	16353
1e+06	7762	10492	12266	12598	12608
	50	75	100	150	200

State capacity (projects/year)

Levers of change: regionalization policy



Levers of change: regionalization policy



Conclusions

- The efficacy of networked collaboration in the LCB is a function of network **structure** and **function**
- Water districts that regionalize planning *and* implementation are more effective in reducing phosphorus loads than other configurations
- **Capacity** at state and municipal scales is more important than the amount of funding
- Regardless of policy, phosphorus mitigation projects in Vermont must become *much* more effective

Thank you!



The University of Vermont




NSF OIA Award #1556770: Lake Champlain Basin Resilience to Extreme Events



patrick.bitterman@uvm.edu

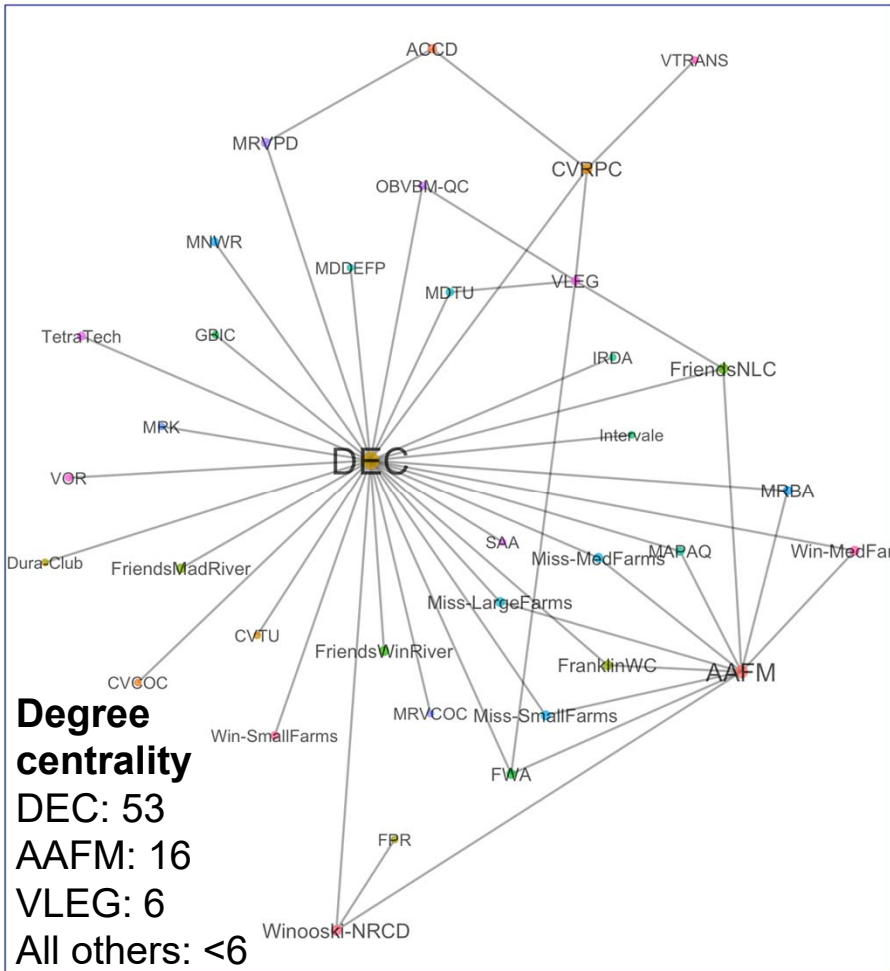
www.patrickbitterman.com

 [@pjbitterman](https://twitter.com/pjbitterman)

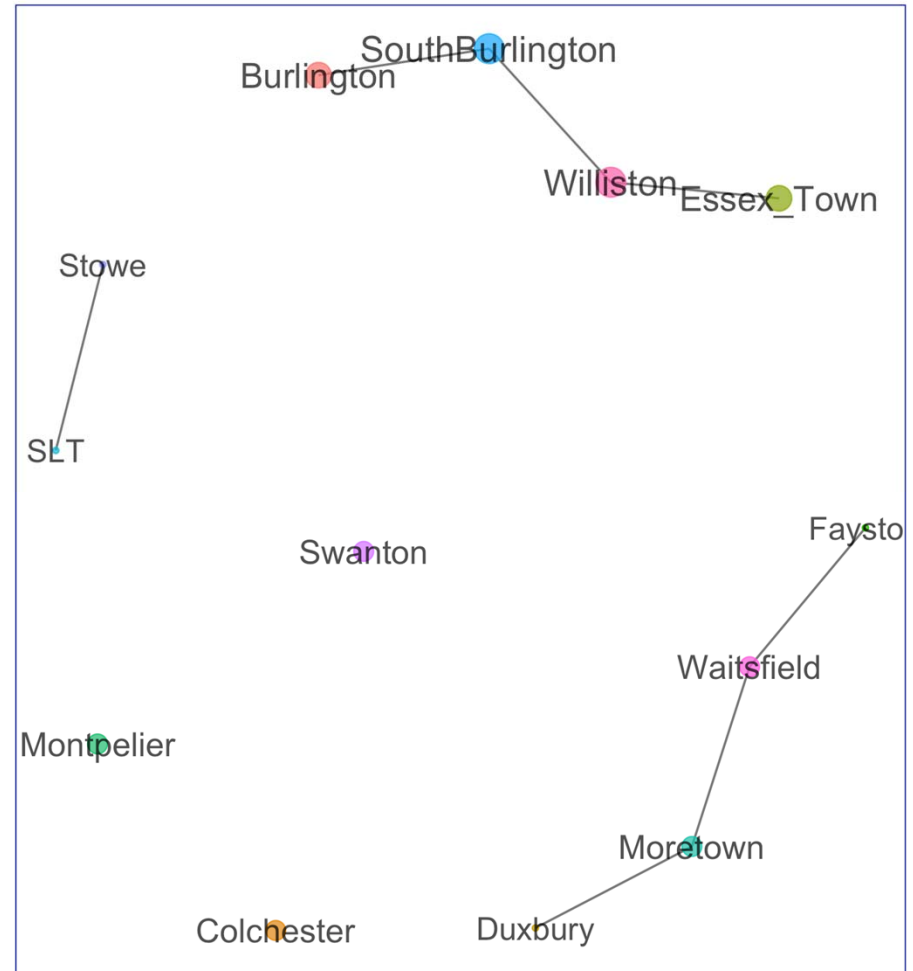
Limitations & future work

- TP loads are not the only driver of behavior
- Power, politics, and path dependencies influence prioritization (and aren't modeled)
- Ongoing relationship with Vermont DEC to better understand scope of new rules & flexibility
- More than just a scaling exercise: “serious games” with new districts to better understand how they prioritize projects
- Extending to agricultural governance

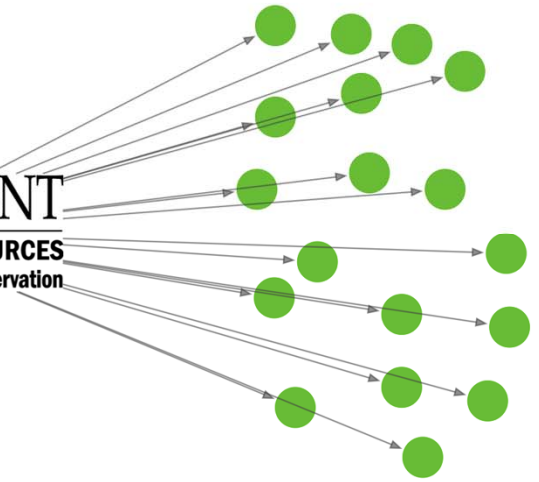
Cross-scale Information Sharing and Project Coordination



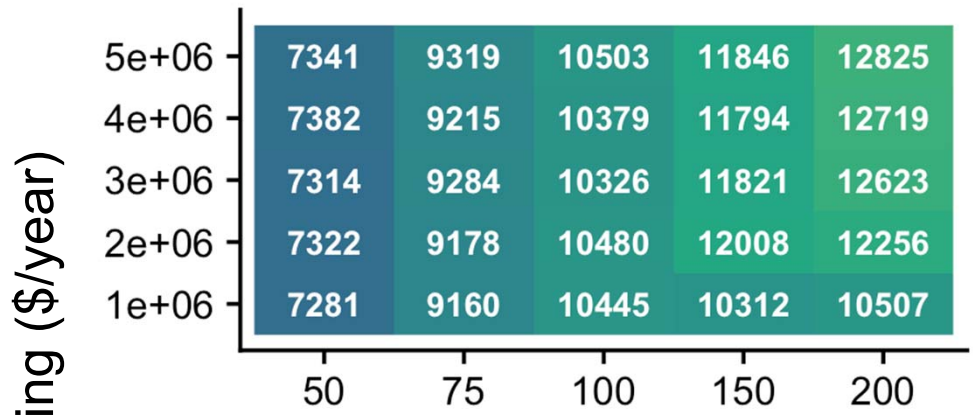
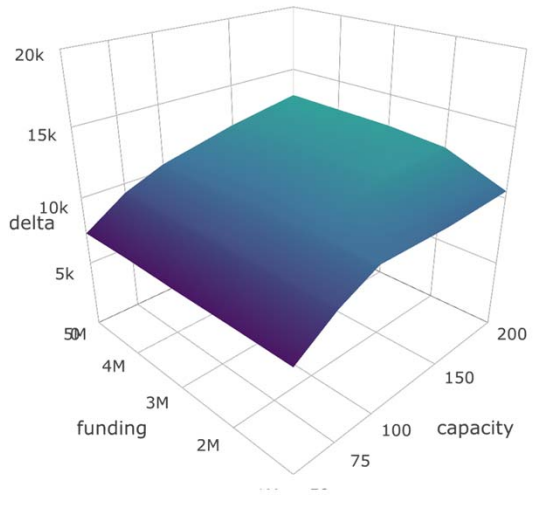
Municipal Project Coordination (Missisquoi and Winooski watersheds)



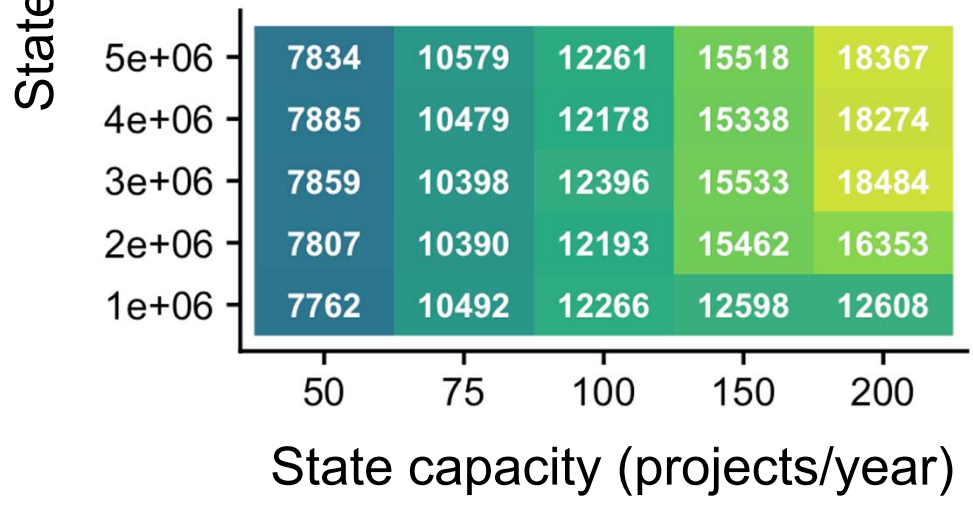
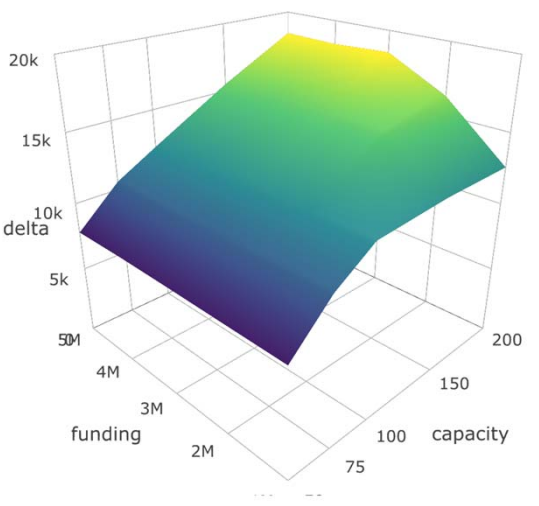
 VERMONT
AGENCY OF NATURAL RESOURCES
Department of Environmental Conservation



Planning only district



Planning & implementation district



State capacity (projects/year)

Spatial context

Action arena(s)

Actors



Actions & interactions

Actor ↔ Actor

Actor ↔ Spatial context

**Potential &
realized
outcomes**

Spatial context

Action arena(s)

Actors



Actions & interactions

Actor ↔ Actor

Actor ↔ Spatial context

Potential & realized outcomes

Rules (e.g., policies)

Spatial context

Action arena(s)

Actors



Actions & interactions

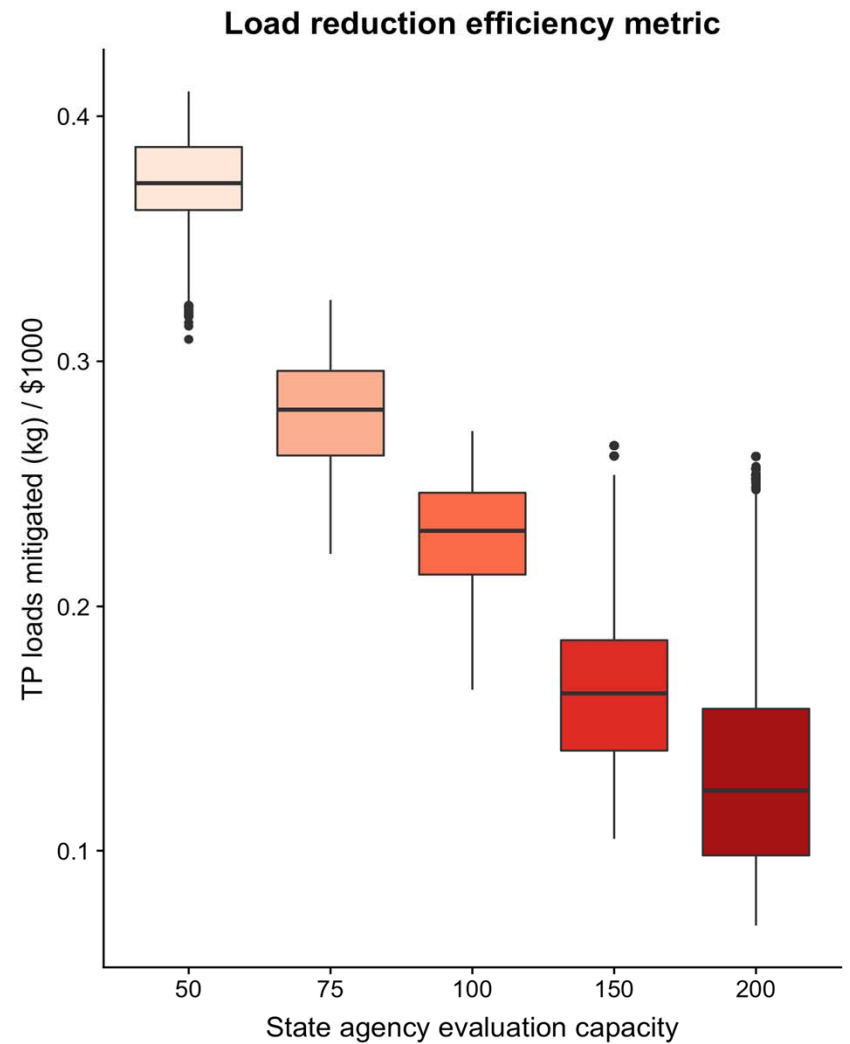
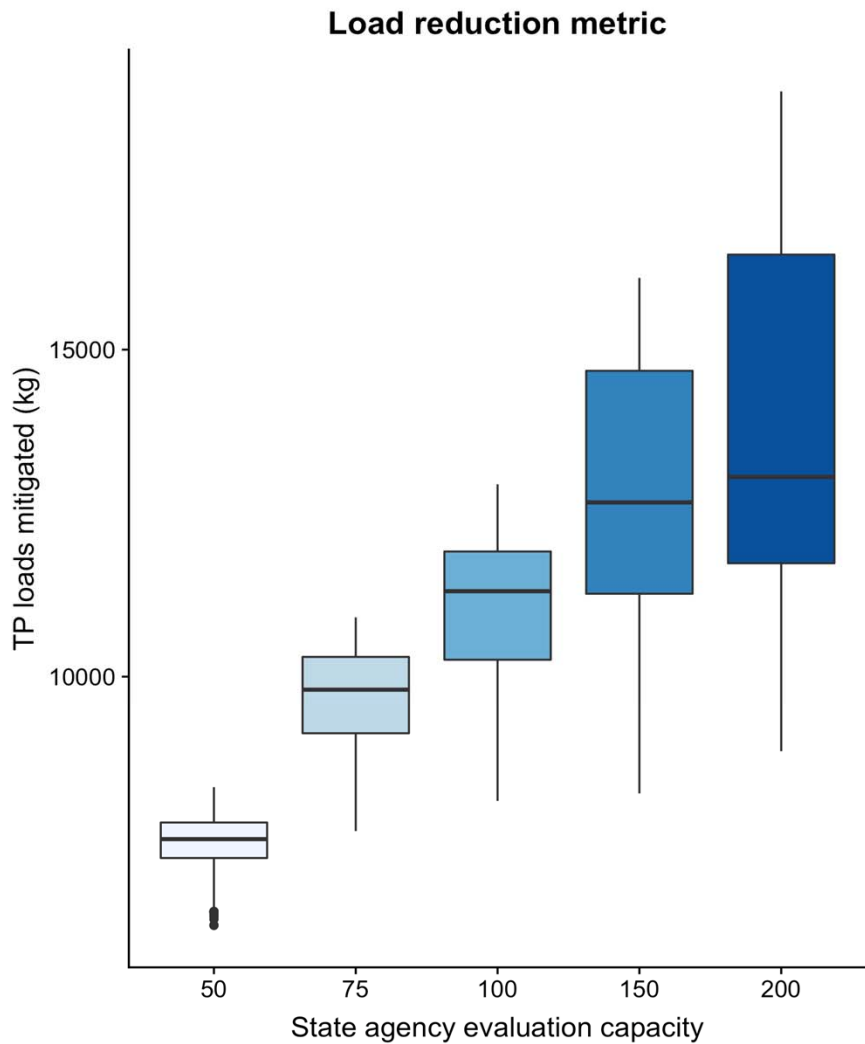
Clean water project
development

Coordination of
resources

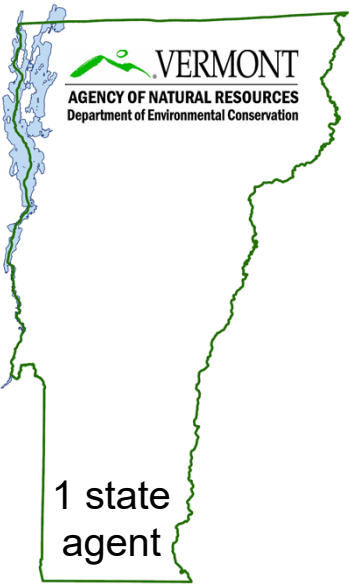
Phosphorus
runoff

Coordination mandates & incentives

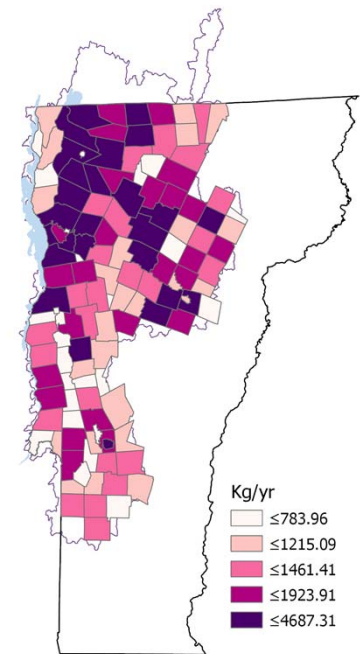
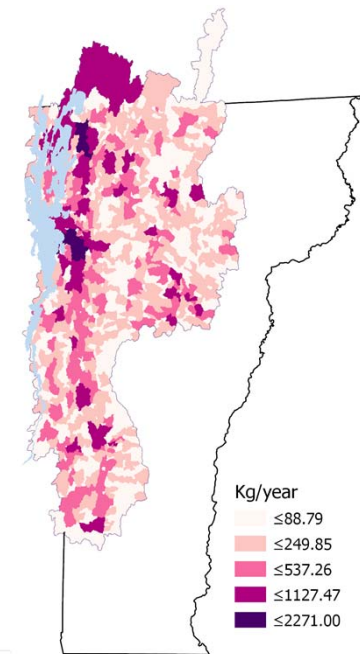
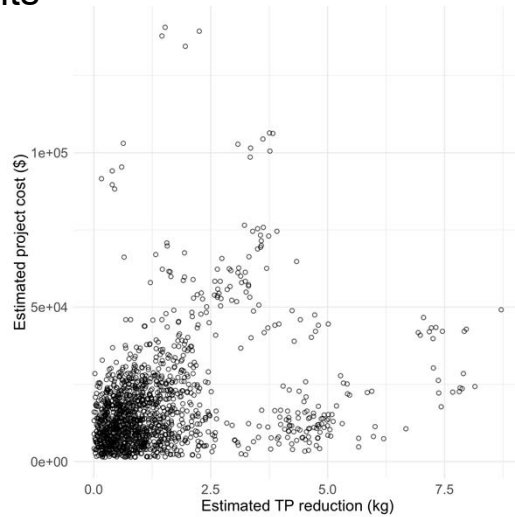
You motivate what you measure...



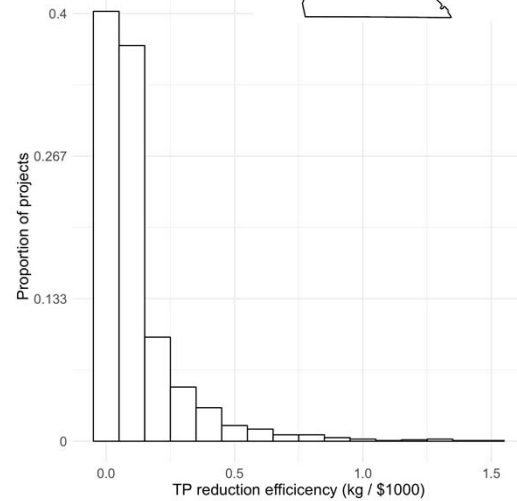
Governance ABM



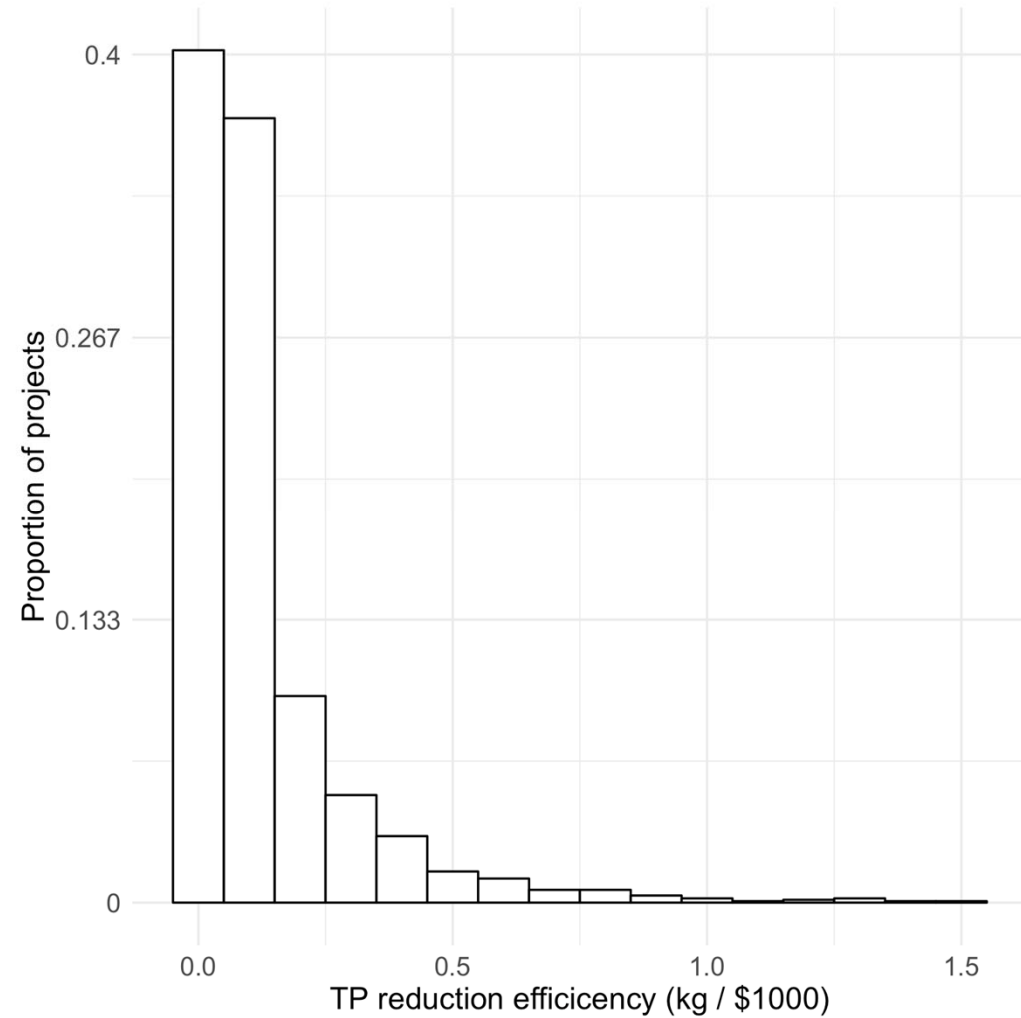
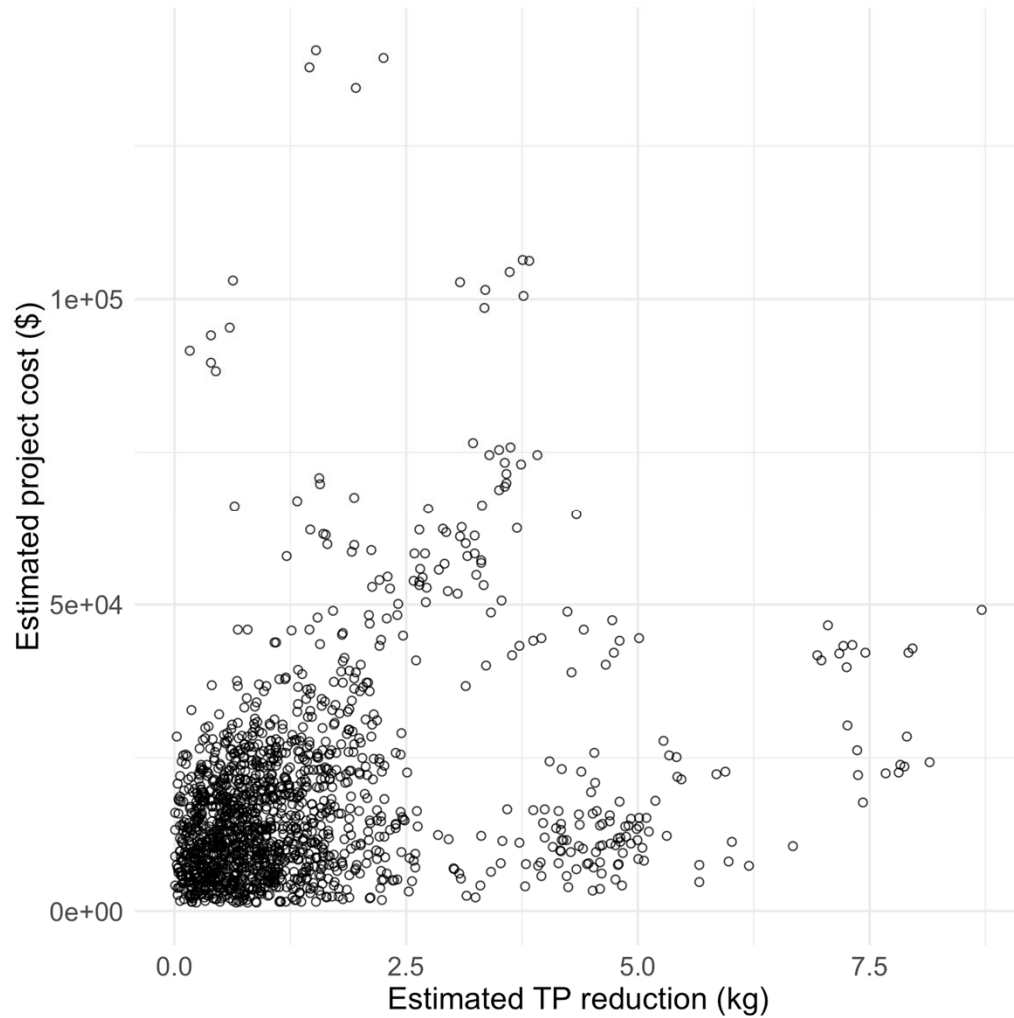
Empirically parameterized



Spatially-explicit



Urban clean water projects empirical parameter distributions



Spatial mismatch between planning and implementation capacity

